

## Speeches and Publications of Pierre F. de Ravel d'Esclapon

- : "Evident Partiality: *Monster Energy* a Case of First Impression" January-February 2020 issue of the ABA Arbitration Committee e-Newsletter vol.5
- "Recent SEC Enforcement Actions and Insider Trading Court Decisions" October 2019 Université de Montréal Law School 3-hour Advanced Seminar on Securities Laws Enforcement.
- "Time-Bar Defenses in Enforcement Proceedings: Analyzing the Rusoro Decision by the Paris Court of Appeals" May 2019, CPR Alternatives
- "The Fiduciary Relationship in American Corporate and Securities Law" in *Mélanges in Honor of Professor Jean-Jacques Daigre* (November 2017)
- Panelist: "Securitization and the U.S. Bankruptcy Code", international symposium on securitization, Comparative Law Center University of Paris, June 2016 (to be published)
- Panelist: "Operational Risks in Banks after the BNP Paribas case" international symposium on "Bankers and Risks" University of Strasbourg Law School September 2014 (to be published)
- Panelist "High Frequency Trading: Regulatory Challenges" International symposium on "High Frequency Trading: A Canadian, American and European View" University of Montreal Law School March 12, 2014
- Moderator «Complexity of Financial Instruments: A Challenge for Regulators » International Symposium « Complexity and Financial Regulation », Université de Montréal Law School 27 September 2013.
- "Shareholder Activism in the United States" International Symposium "Governance: What is the Contribution of Institutional Investors?" Université de Montréal Law School 02 November 2012
- "L'affaire MF Global et la refonte de la protection des fonds des clients des courtiers américains sur les marchés à terme," *Bulletin Joly Bourse* (April 2012). (MF Global and the reform of the protection of customer funds at commodity futures brokers)
- "Le dégorgeement dans la loi Sarbanes-Oxley," *Bulletin Joly Bourse* (February 2012). (Disgorgement under SOX)
- "De Sarbanes-Oxley à Dodd-Frank : le rôle et les limites de la gouvernance dans la prévention des catastrophes financières," Paper presented on November 9, 2011 at an international symposium organized by the Lyon II Law School for the 10th anniversary of Enron's bankruptcy. *La Semaine Juridique –Entreprise et affaires* No 24 14 juin 2012 p.20 (From SOX to Dodd-Frank: The Role and Limitations of Corporate Governance in the Prevention of Future Financial Catastrophes)
- "La nature de la relation fiduciaire en droit coutumier anglo-américain (The Nature of the Fiduciary Relationship in Anglo-American Law)" Master's Seminar given at the University of Strasbourg Law School 7 November 2011

- "US Legislative Responses to the Financial Crisis: 39th Annual Workshop on Commercial Law and Consumer Law, Montreal (16 October 2009).
- Co-author, "[Les évolutions récentes de la fiscalité des fonds de private equity aux Etats-Unis et en France](#)" *Magazine des Affaires*, n° 40 (April 2009).
- « Grant, Lee and the French in the Civil War » The Bernard and Irene Schwartz Distinguished Speakers Series, New York Historical Society 15 January 2009
- "Finance : deux arrêts rendus à New York invoquent l'inexécution anticipée comme motif de résolution unilatérale" *Bulletin Joly Bourse* (September-October 2008). (Finance: Two New York Cases on Anticipatory Breach)
- "Relative Competitiveness of Legal Systems: An American Practitioner's View" *Journées Maximilien Caron* Université de Montréal Law School (April 2008).
- "Intégrité et Transparence – Sarbanes-Oxley 5 ans après," présentation à la conférence organisée par l'AMF du Québec et l'Université de Montréal Law School (2 November 2007). (Integrity and Transparency: SOX five Years on)
- Auteur, "Bridging the cultural gap." dans *The Laws Behind International Trade, A Detailed Look at Enforcement, Regulations, And Political Influences*, Aspatore Books (2006).
- "Foreign Whistleblowers Not Protected by Section 806 of SOX" (27 January 2006).
- Regulation FD: "The Siebel Case" (7 September 2005).
- Previously Reported Material "Weakness PCAOB Auditing Standard No. 4" (August 2005).
- "Ethics and Independence Rules Concerning Independence Tax Services and Contingent Fees: New PCAOB Rules" (August 2005).
- "SEC Continues to Define Regulation FD Parameters through Enforcement Actions: Reaffirmation of Guidance Can Constitute Violation" (30 March 2005).
- "Snapshot of SEC ABS Rules and Proposals" (March 2005).
- "Foreign Asset-Backed Securities" (March 2005).
- "When Does a Federal Investigation Conclude, Triggering a Corporate Executive's Right to Indemnification?" (3 May 2004).
- "FASB Interpretation No. 46" (10 February 2004).
- "Sarbanes-Oxley Act of 2002 and Current Issues in Corporate Governance, Accountability and Disclosure" (30 January 2003).
- "Credit Derivatives Documentation and Changes in the ISDA Master Agreements: A Disaster Waiting to Happen?" Presentation to the International Banks US Credit Policy Round Table (26 February 2002).
- "Chapter 12 United States: Corporations" in *Corporate Law in Practice* Second Edition, edited by G. van der Burght, Kluwer (1998).
- "Antidumping and Countervailing Duties." In *U.S. Taxation of Foreign Controlled Businesses*, edited by Marc M. Levey (1995).
- "Supplement to Antidumping and Countervailing Duties." In *U.S. Taxation of Foreign Controlled Businesses*, edited by Marc M. Levey (1995).
- "Non-Price Predation and The Improper Use of the U.S. Unfair Trade Laws." *Antitrust Law Journal* (1987).

- "International Tax Treaties and Industrial and Commercial Profits." In *Income Tax Treaties*, edited by Jon E. Bischel (1975).
- "The United States–France Tax Convention." In *Income Tax Treaties*, edited by Jon E. Bischel (1975).
- "Liability of Directors to Shareholders for Negligence under American Law and their Indemnification." *McGill Law Journal* (1970).
- Cases and materials on Canadian Company Law, with Prof. Robert J. Bertrand, University of Montreal Press (1969)
- "Fondements constitutionnels d'une réglementation des valeurs mobilières au Canada." *Revue Juridique Thémis* (1968).
- "L'obligation d'élever les enfants s'étend-elle aux études universitaires ?" *Revue Juridique Thémis* (1968).